Inclusion of cytoplasmic lineage effect and direct-maternal genetic covariance for genetic evaluation of growth traits in Nellore cattle

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ABSTRACT. We evaluated the impact of cytoplasmic lineage effects (Lc) for growth traits on genetic evaluation, including the genetic covariance between direct and maternal effects (σam). Pedigree data from 496,190 Nellore animals and observations on birth weight (BW, N = 243,391), weaning weight (WW, N = 431,681), and post-weaning weight gain adjusted to 345 days (PWG, N = 172,131) were analyzed. Four univariate models were used to obtain estimates of (co)variance components using the restricted maximum likelihood method in the BLUPF90 program. Model 1 included Lc and σam. Model 2 included Lc and σam, was set to zero. Model 3 did not include Lc. Model 4 did not include Lc and σam was set to zero. These models considered the effects
of the Lc as random. Phenotypic variance obtained through cytoplasmic lineage effects was determined for all traits, ranging from 0.07 to 0.15, 0.15 to 0.03, and 0.05 to 0.03% for BW, WW, and PWG, respectively, for models 1 and 2. Correlations between direct and maternal genetic components were positive for WW and negative for BW and PWG. No differences were observed for genetic parameter estimates or animal ranking with the inclusion of $\sigma_{mc}$. For BW, the likelihood ratio suggested that model 1 best fits the data, while model 4 was the most appropriate for WW and PWG. Thus, these models are recommended for genetic evaluations. Despite the low magnitude of cytoplasmic lineages, this effect could predict breeding value and improve the selection of animals for BW in this Nellore population.

**Key words:** Cytoplasmic lineage effect; Direct-maternal covariance; Growth traits; Mitochondrial DNA; Nellore

**INTRODUCTION**

In beef cattle, the cytoplasmic effect, which occurs through the genetic effect of mitochondria, provides an important contribution to variation in growth traits. Cytoplasmic inheritance refers to the matrilineal transmission of mitochondrial DNA (mtDNA), an extranuclear genetic material, through the oocyte cytoplasm (Brown et al., 1989). The maternal contribution to the animal’s genotype considers half of their direct additive genetic component added to the permanent maternal environmental and cytoplasmic effect. Thus, maternal contribution affects progeny performance from embryonic development up to the expression of post-weaning traits in each animal and in future generations. Several studies have shown that cytoplasmic effect has a small influence on the genetic variation for pre weaning and reproductive traits in beef cattle (Tess and MacNeil, 1994; Gibson et al., 1997; Mezzadra et al., 2005; Quintino et al., 2009; Garmyn et al., 2011; Bueno et al., 2012; Carrillo and Siewerdt, 2012; Pun et al., 2012; Neser et al., 2014).

In general, in beef cattle breeding programs, maternal effects and direct-maternal genetic covariance are used in genetic models for the genetic evaluation of pre-weaning traits. According to Maniatis and Pollott (2003), when these effects are ignored, the model might underestimate the genetic responses. In this respect, Clément et al. (2001) used simulated data to show that the omission of existing maternal genetic effects leads to overestimation of direct heritability, which is over two-fold in some cases.

For some traits and breeds, the direct and maternal genetic covariance are not assumed in models used for genetic evaluation. The main argument is the difficulty for deriving direct and maternal effects allowing the correct formation of covariance structure (Meyer, 1992), besides the confused interpretation on animal selection caused by the negative genetic correlation between these effects, mainly pre-weaning traits. Estimates of negative correlations between direct and maternal effects have been reported by Eler et al. (2000), Cabrera et al. (2001), Ferreira et al. (2011), and Boligon et al. (2012) for Nellore cattle. However, there is no consensus on the incorporation of direct and maternal genetic covariance in the statistical models for genetic evaluation in Nellore cattle.

The quality of the pedigree and phenotypic information affect the estimates of genetic
parameters and the prediction of breeding values with direct and maternal effects (Gerstmayr, 1992; Clément et al., 2001; Malhado et al., 2004). In beef cattle, particularly under ranch conditions, pedigree information is scarce or with insufficient structure, and unknown sires or maternal-sires are common. The present study aimed to support the evaluation and improvement of genetic models for beef cattle with the incorporation of cytoplasmic lineage effects and direct-maternal genetic covariance on the estimation of (co)variance components and genetic parameters and on the prediction of breeding values for growth traits of Nellore cattle.

**MATERIAL AND METHODS**

**Data description**

A total of 496,190 Nellore animals, born between 1984 and 2013, from 2688 bulls and 134,728 cows, belonging to the Genetic Breeding Program of Agropecuária CFM Ltda., a company with farms in the Southeastern and Midwestern regions of Brazil, were utilized. Records of the following traits were analyzed: birth weight (BW, kg), weaning weight (WW, kg), and post-weaning weight gain adjusted to 345 days (PWG, kg) (Table 1). The analyses were performed together with the Research Center of Animal Breeding, Biotechnology and Transgenesis of the College of Animal Sciences and Food Engineering, Universidade de São Paulo, Brazil.

<table>
<thead>
<tr>
<th>Trait</th>
<th>BW (kg)</th>
<th>WW (kg)</th>
<th>PWG (kg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of observation</td>
<td>243,391</td>
<td>431,681</td>
<td>172,131</td>
</tr>
<tr>
<td>Mean</td>
<td>31.52</td>
<td>175.65</td>
<td>112.24</td>
</tr>
<tr>
<td>SD</td>
<td>3.61</td>
<td>27.27</td>
<td>30.95</td>
</tr>
<tr>
<td>Number of CG</td>
<td>4203</td>
<td>10,951</td>
<td>984</td>
</tr>
<tr>
<td>Number of Lc</td>
<td>11,333</td>
<td>28,043</td>
<td>9,267</td>
</tr>
<tr>
<td>Number of generations</td>
<td>1 to 8</td>
<td>1 to 9</td>
<td>1 to 7</td>
</tr>
</tbody>
</table>

To identify the founding lineages specific to each generation, the LinMat software (Mourão et al., 2006) was used. This software traces the ancestral maternal lineage of the animal. In total, 28,459 ancestral cows were identified, and the longest ancestral time from the maternal line was nine generations. The total number of animals with records for maternal cytoplasmic lineage that could be considered for analysis is presented in Table 1. Only cytoplasmic lines with a number equal to or higher than three offspring were considered in the database. This was important to obtain the correct genetic covariance matrix as proposed by Dodenhoff et al. (1999).

Data consistency was ensured and animals without parental information, records, cytoplasmic lineage, age of cow at calving unknown, and animals with records considering three standard deviations from the mean were discarded. The data structure is summarized in Table 1. Computer programs Microsoft Visual FoxPro (version 9.0) and the software R (version 3.2.1) were used to prepare the files and to ensure data consistency.
Analysis

Four different models were fitted for all traits. The estimates of the (co)variance components were obtained with univariate models using the restricted maximum likelihood (REML) method by the BLUPF90 program (Misztal et al., 2007).

For WW, the contemporary group (CG), as a fixed effect, comprised the farm, sex, year of birth, and weaning management group (WMG), while for BW and PWG, farm, sex, and year of birth were considered for the CG. The number of generations was also considered a fixed effect, and the age of the dam, linear and quadratic effects, and linear effect of animal age, except for the BW, were considered as covariates.

Model 1: For each analyzed trait, this model included the direct additive genetic effect (a), maternal additive genetic effect (m), covariance between these effects (σ_am), cytoplasmic lineage effect (Lc) as a random, permanent, maternal environment (c), and the residual effect (e). For PWG, the effect of the WMG (g) was incorporated as a random effect.

Model 2: the same as in Model 1, but did not include Lc.

Model 3: the same as in Model 1, but considering zero for σ_am.

Model 4: the same as in Model 1, but did not include Lc and considering zero for σ_am.

The general model described was represented by the matrix notation below:

$$Y = Xb + Z_a a + Z_m m + Z_c c + Z_g g + e$$

where y is the vector for observations, X is the incidence matrix of fixed effects, Z_a is the incidence matrix of animal additive genetic effects, Z_m is the incidence matrix of maternal genetic effects, Z_c is the incidence matrix of cytoplasmic line effects, Z_g is the incidence matrix of permanent environmental effects associated with the dam, Z_e is the incidence matrix of WMG, b is the vector of fixed effects (contemporary group and number of generation), a is the vector for random coefficients for direct additive effects, m is the vector for random coefficients for additive maternal, Lc is the vector for random coefficients for cytoplasmic lineage effects, g is the vector for random coefficients for maternal permanent environmental effects, e is the vector for random coefficients for the WMG, and e is the vector of residual effects.

The model assumed that

$$V = \begin{bmatrix} \sigma_a^2 & \sigma_am & 0 & 0 & 0 & 0 \\ \sigma_am & \sigma_m^2 & 0 & 0 & 0 & 0 \\ 0 & 0 & \sigma_c^2 & \sigma_cLc & 0 & 0 \\ 0 & 0 & 0 & \sigma_c^2 & 0 & 0 \\ 0 & 0 & 0 & 0 & \sigma_g^2 & 0 \\ 0 & 0 & 0 & 0 & 0 & \sigma_e^2 \end{bmatrix}$$

where A is the numerator relationship matrix among animals in the pedigree file, I is the identity matrix, N_a, N_m, and N_c are the number of cytoplasmic lineages, dams, and WMG, respectively, N is number of records, σ_a^2 is the direct additive genetic variance, σ_m^2 is the maternal additive genetic variance, σ_am is the covariance between the direct and maternal genetic effects, σ_c^2 is the variance of the cytoplasmic lineage effect, σ_e^2 is the permanent maternal environmental variance, and σ_e^2 is the residual variance.

To obtain direct heritability \([h_a^2 = \sigma_a^2/(\sigma_a^2 + \sigma_m^2 + \sigma_{am} + \sigma_c^2 + \sigma_{Lc}^2 + \sigma_e^2)]\), maternal heritability \([h_m^2 = \sigma_m^2/(\sigma_a^2 + \sigma_m^2 + \sigma_{am} + \sigma_c^2 + \sigma_{Lc}^2 + \sigma_e^2)]\) and fraction of phenotypic variance due to permanent maternal environmental effects \([c^2 = \sigma_c^2/(\sigma_a^2 + \sigma_m^2 + \sigma_{am} + \sigma_c^2 + \sigma_{Lc}^2 + \sigma_e^2)]\) on the estimated (co)variance components were used (Maniatis and Pollott, 2003). In addition,
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total heritability \( (h^2_t) \) as defined by Willham (1972) was obtained through the calculation of the total direct additive variance as \( \sigma^2_t = (\sigma^2_a + 0.5 \sigma^2_m + 1.5 \sigma_{am}) \).

The WMG was incorporated for PWG as a random effect in the models to increase the number of animals per CG, avoiding the elimination of important animals and the creation of CGs with small variability (Oliveira Júnior et al., 2014; Pedrosa et al., 2014b).

A likelihood ratio test (Rao and Scott, 1984) was used to compare the models. The difference between the -2log values (Table 2) from the models was assumed to be distributed according to the chi-square test with one degree of freedom and a significance level of \( \alpha = 0.05 \). To verify the effect of the model on the animal classification, Spearman correlation coefficients were used to observe changes in breeding values predicted for traits based on models with and without the genetic covariance between direct and maternal effects.

### Results and discussion

Descriptive statistics for BW, WW, and PWG are presented in Table 1. The means obtained for these traits were similar to those previously reported for Nellore cattle (Boligon et al., 2008, 2013; Pedrosa et al., 2014a).

With respect to the cytoplasmic lineage effect, for BW, there were statistical differences between models 3 and 2. This result was also observed for model 3 (with cytoplasmic effects and without direct-maternal genetic covariance) for WW and PWG in comparison to models 1 and 2 (Table 3).

<table>
<thead>
<tr>
<th>Trait</th>
<th>Difference between models(^1)</th>
<th>BW</th>
<th>WW</th>
<th>PWG</th>
</tr>
</thead>
<tbody>
<tr>
<td>M1-M2</td>
<td>130.75**</td>
<td>-6.1</td>
<td>-9.5</td>
<td></td>
</tr>
<tr>
<td>M1-M3</td>
<td>125.75**</td>
<td>-26.8</td>
<td>-32.4</td>
<td></td>
</tr>
<tr>
<td>M1-M4</td>
<td>129.45**</td>
<td>-33.4</td>
<td>-41.9</td>
<td></td>
</tr>
<tr>
<td>M2-M1</td>
<td>-150.7</td>
<td>6.1**</td>
<td>9.5**</td>
<td></td>
</tr>
<tr>
<td>M2-M3</td>
<td>-5.0</td>
<td>-20.7</td>
<td>-22.9</td>
<td></td>
</tr>
<tr>
<td>M2-M4</td>
<td>-1.3</td>
<td>-27.3</td>
<td>-32.4</td>
<td></td>
</tr>
<tr>
<td>M3-M1</td>
<td>-125.75</td>
<td>26.8**</td>
<td>32.4**</td>
<td></td>
</tr>
<tr>
<td>M3-M2</td>
<td>5.0**</td>
<td>20.7**</td>
<td>22.9**</td>
<td></td>
</tr>
<tr>
<td>M3-M4</td>
<td>3.7</td>
<td>-6.6</td>
<td>-9.5</td>
<td></td>
</tr>
<tr>
<td>M4-M1</td>
<td>-129.45</td>
<td>32.4**</td>
<td>41.9**</td>
<td></td>
</tr>
<tr>
<td>M4-M2</td>
<td>3.3</td>
<td>27.3**</td>
<td>32.4**</td>
<td></td>
</tr>
<tr>
<td>M4-M3</td>
<td>-4.7</td>
<td>6.6**</td>
<td>9.5**</td>
<td></td>
</tr>
</tbody>
</table>

*\( \chi^2 \) with \( \alpha = 0.05 \). **Significant \( P < 0.05 \). \(^1\)Difference between the likelihood function of all models used in genetic evaluation. Model 1 (M1); Model 2 (M2); Model 3 (M3); Model 4 (M4).
However, model 1 was the most appropriate for BW (complete model) indicating the importance of the incorporated effects, while for WW and PWG, model 4 was more significant than other models considering the -2log difference.

Differences between models were observed for the (co)variance components and genetic parameters (Table 4 and 5). Low values for cytoplasmic lineage effects were observed between models 1 and 3, ranging from 0.07 to 0.15, 0.15 to 0.03, and 0.05 to 0.03% for BW, WW, and PWG, respectively, as a proportion of phenotypic variance. However, the inclusion of cytoplasmic lineage effects is important for the genetic evaluation of the BW trait. Similar results were reported by Ventura et al. (2007), Quintino et al. (2009), and Bueno et al. (2012) in Nellore cattle.

### Table 4. Estimates of (co)variance components for birth weight (BW), weaning weight (WW), and post weight gain adjusted to 345 days (PWG) calculated with the models studied.

<table>
<thead>
<tr>
<th>Traits</th>
<th>Models</th>
<th>$\sigma^2_d$</th>
<th>$\sigma^2_m$</th>
<th>$\sigma^2_m$</th>
<th>$\sigma^2_c$</th>
<th>$\sigma^2_g$</th>
<th>$\sigma^2_e$</th>
</tr>
</thead>
<tbody>
<tr>
<td>BW</td>
<td>M1</td>
<td>0.04</td>
<td>0.37</td>
<td>-0.05</td>
<td>0.064</td>
<td>0.012</td>
<td>0.039</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.10</td>
<td>0.39</td>
<td>0.00</td>
<td>0.014</td>
<td>0.029</td>
<td>0.037</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.10</td>
<td>0.35</td>
<td>0.00</td>
<td>0.014</td>
<td>0.029</td>
<td>0.037</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.10</td>
<td>0.38</td>
<td>0.00</td>
<td>0.027</td>
<td>0.042</td>
<td>0.030</td>
</tr>
<tr>
<td>WW</td>
<td>M1</td>
<td>0.58</td>
<td>0.46</td>
<td>0.05</td>
<td>0.471</td>
<td>0.097</td>
<td>0.479</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.58</td>
<td>0.53</td>
<td>0.02</td>
<td>0.492</td>
<td>0.097</td>
<td>0.479</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.60</td>
<td>0.59</td>
<td>0.00</td>
<td>0.501</td>
<td>0.104</td>
<td>0.479</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.64</td>
<td>0.60</td>
<td>0.00</td>
<td>0.497</td>
<td>0.097</td>
<td>0.479</td>
</tr>
<tr>
<td>PWG</td>
<td>M1</td>
<td>0.62</td>
<td>0.43</td>
<td>-0.04</td>
<td>0.020</td>
<td>0.000</td>
<td>0.000</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.62</td>
<td>0.52</td>
<td>-0.02</td>
<td>0.001</td>
<td>0.000</td>
<td>0.000</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.47</td>
<td>0.54</td>
<td>0.00</td>
<td>0.015</td>
<td>0.005</td>
<td>0.000</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.47</td>
<td>0.56</td>
<td>0.00</td>
<td>0.012</td>
<td>0.000</td>
<td>0.000</td>
</tr>
</tbody>
</table>

$\sigma^2_d$ = direct additive genetic variance; $\sigma^2_m$ = maternal genetic variance; $\sigma^2_c$ = cytoplasmic lineage effect variance; $\sigma^2_g$ = variance due to maternal permanent environmental effects; $\sigma^2_e$ = residual variance; $\sigma^2_s$ = phenotypic variance.

### Table 5. Estimates of genetic parameters (SE in parenthesis) from univariate analysis of birth weight (BW), weaning weight (WW), and post weight gain adjusted to 345 days (PWG).

<table>
<thead>
<tr>
<th>Traits</th>
<th>Models</th>
<th>$h^2_d$</th>
<th>$h^2_m$</th>
<th>$h^2_c$</th>
<th>$r_{dm}$</th>
<th>Le$^{c^2}$</th>
<th>c$^2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>BW</td>
<td>M1</td>
<td>0.23</td>
<td>0.04</td>
<td>0.18</td>
<td>-0.05</td>
<td>0.0005</td>
<td>0.03</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.22</td>
<td>0.04</td>
<td>0.18</td>
<td>-0.05</td>
<td>0.0000</td>
<td>0.03</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.22</td>
<td>0.04</td>
<td>0.18</td>
<td>0.00</td>
<td>0.0005</td>
<td>0.03</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.22</td>
<td>0.04</td>
<td>0.18</td>
<td>0.00</td>
<td>0.0000</td>
<td>0.03</td>
</tr>
<tr>
<td>WW</td>
<td>M1</td>
<td>0.18</td>
<td>0.07</td>
<td>0.18</td>
<td>0.22</td>
<td>0.0005</td>
<td>0.15</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.18</td>
<td>0.07</td>
<td>0.18</td>
<td>0.22</td>
<td>0.0005</td>
<td>0.15</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.20</td>
<td>0.07</td>
<td>0.20</td>
<td>0.24</td>
<td>0.0005</td>
<td>0.15</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.20</td>
<td>0.07</td>
<td>0.20</td>
<td>0.24</td>
<td>0.0005</td>
<td>0.15</td>
</tr>
<tr>
<td>PWG</td>
<td>M1</td>
<td>0.15</td>
<td>0.02</td>
<td>0.13</td>
<td>-0.41</td>
<td>0.0005</td>
<td>0.02</td>
</tr>
<tr>
<td></td>
<td>M2</td>
<td>0.15</td>
<td>0.02</td>
<td>0.13</td>
<td>-0.40</td>
<td>0.0005</td>
<td>0.02</td>
</tr>
<tr>
<td></td>
<td>M3</td>
<td>0.12</td>
<td>0.01</td>
<td>0.12</td>
<td>0.00</td>
<td>0.0003</td>
<td>0.02</td>
</tr>
<tr>
<td></td>
<td>M4</td>
<td>0.12</td>
<td>0.01</td>
<td>0.12</td>
<td>0.00</td>
<td>0.0003</td>
<td>0.02</td>
</tr>
</tbody>
</table>

$h^2_d$ = direct additive heritability, $h^2_m$ = maternal additive heritability, $h^2_c$ = total heritability, SE = standard error, $r_{dm}$ = correlation of direct and maternal effects, Le$^{c^2}$ = cytoplasmic lineage, and c$^2$ = maternal permanent environmental.

For models 1 and 3, the maternal effects were split into their genetic, cytoplasmic, and permanent environmental components, resulting in decreased variance component of the maternal additive genetic effect for BW and PWG. For WW, there was a slight increase in maternal additive genetic variance. Compared to the results obtained from models 1 and 4, the
proportion of direct additive variance in relation to the phenotypic variance decreased from 1 to 2% for BW and PWG. In general, the variance component of phenotypic estimates showed similar values, which were consistent with those reported by Bueno et al. (2012) for Nellore cattle in cytoplasmic lineage models.

For BW, the results obtained for the direct heritability coefficient ($h^2_a$) were similar between the four models (Table 5), and similar results were presented by Santana et al. (2012) and Regatieri et al. (2012). The estimate for $h^2_a$ to PWG, was the same as that reported by Tonussi et al. (2015), showing a later response to direct selection. Thus, it is probable that the utilization of Lc models did not interfere with the estimation of genetic parameters. A negative correlation (-0.05) between direct maternal genetic effects was estimated, indicating that the effects of variation and maternal influence differ in direction and magnitude, so the potential for expression of this trait depends to a greater extent on the additive genetic value of each individual.

The estimates for maternal heritability coefficients ($h^2_m$) for BW (0.04) were lower than the 0.07 and 0.11, respectively, presented by Eler et al. (2000) and Araújo et al. (2014). In addition, the value obtained for WW was similar to that reported previously between 0.04 and 0.11 by Boligon et al. (2012). As noted in models 1 and 2, the estimated genetic correlation between direct and maternal effect on WW was positive, although the converse (-0.59) was observed by Quintino et al. (2009). This is important as it significantly increased the estimated $h^2_t$, which explains 24% of the total variance (Figure 1). This confirms that WW clearly shows the potential capacity for maternal effects to influence the offspring. The negative genetic correlation obtained for PWG (-0.41) indicated that maternal ability has little influence and that this is independent to the contribution of their dams on the trait expression.

For all traits, the Spearman correlation coefficients predicted for the genetic values of breeding were close to 1, from 0.99 (data not shown) between models 1 and 4. This indicates the high percentage of animals in the equivalent classification in the selection criteria. These results are consistent with those reported by Malhado et al. (2004), Guterres et al. (2007), and Boligon et al. (2012). In addition, the proportion of conflict selection, that shows divergence in animals classified by model 1 in relation to those classified by model 4, was 0.3, 1.5, and 1.8% for BW, WW, and PWG, respectively. These results indicate that there was a change in the animal sort order, therefore is important for the correct selection of animals.

The results obtained in the present study confirm that the cytoplasmic effect and direct maternal genetic covariance should be considered for BW only. However, consistent with
findings from previous studies, it is possible to confirm that cytoplasmic lineage effects and
direct and maternal genetic covariance are not significant for post-weaning traits and should
not be included in the genetic evaluation (Guteres et al., 2007; Ferreira et al., 2011). Thus,
future studies should focus on the use of the mitochondrial genome, which may contribute to
a deeper understanding on the cytoplasmic inheritance of complex traits.

In conclusion, for the genetic evaluation of BW, the inclusion of direct-maternal
genetic covariance and Lc effects in the animal models is recommended. Despite the short
length of mitochondrial DNA, and the small contribution of cytoplasmic lineage effects to the
phenotypic variance, is important to account for this effect in genetic evaluation models for
pre weaning traits, and are probably not important for post-weaning traits in Nellore cattle.

Conflicts of interest

The authors declare no conflict of interest.

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